

# Introduction to moduli spaces

Han-Bom Moon

Department of Mathematical Sciences  
Seoul National University

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# Part I

What is a moduli space?

# Moduli spaces

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We will ignore all technical details.

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Roughly, a **moduli space** is a (topological, geometric, algebraic) space whose points are in one to one correspondence with geometric objects of one kind.

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the moduli space  $M$  is  $\mathbb{R}^4 - \{((x_0, y_0), (x_0, y_0))\} \cong \mathbb{R}^4 - \Delta \cong \mathbb{R}^4 - \mathbb{R}^2$ .

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$M$  is not only a set, but is a topological space.

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Define  $U \subset M \times \mathbb{R}^2 \cong \mathbb{R}^4 \times \mathbb{R}^2$  by

$$U = \{(x_0, y_0, x_1, y_1, (1-t)x_0 + tx_1, (1-t)y_0 + ty_1) | t \in [0, 1]\}$$

There is a natural map  $\pi : U \rightarrow M$  defined by projection to first four coordinates.

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Q. Why  $U$  is a ‘universal family’?

A. For every point  $((x_0, y_0), (x_1, y_1)) = p \in M$ ,

$$\pi^{-1}(p) = \{((1-t)x_0 + tx_1, (1-t)y_0 + ty_1) | t \in [0, 1]\} \subset \mathbb{R}^2,$$

the oriented line segment corresponded  $p$ !

# Definition of moduli space

## Definition

A (fine) moduli space of some geometric objects consists of a moduli space  $M$ , a universal family  $U$  and a map  $\pi : U \rightarrow M$  such that

- ① there is one to one correspondence between points of  $M$  and geometric objects we want to collect.
- ② for every point  $p \in M$ ,  $\pi^{-1}(p)$  is the corresponded object.

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Define an equivalence of oriented line segments as following:

$l_1, l_2 \subset \mathbb{R}^2$  are isomorphic if  $\exists$  a translation  $\varphi : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  such that  $\varphi(l_1) = l_2$ .

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Then we can assume the starting point of line segment is the origin.

So in this case, the moduli space  $M''$  of oriented line segments in  $\mathbb{R}^2$  up to translation is

$$M'' = \mathbb{R}^2 - \{(0, 0)\}.$$

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A. point.

Lesson : Equivalent relations between parameterized objects are very important!

## More examples

Fix a vector space  $V = \mathbb{R}^n$ .

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Q. What is the moduli space of 1-dimensional subspace of  $V$ ?

- every  $v \in V - \{0\}$  determines a unique 1-dimensional subspace  $L = \langle v \rangle \subset V$ .
- $v, v' \in V - \{0\}$  determines the same subspace if  $\exists c \in \mathbb{R}^*, v = cv'$ .

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$\Rightarrow$  the moduli space of 1-dimensional subspace of  $V$  is  $(V - \{0\})/\mathbb{R}^*$   
 $= P(V)$ , the **projective space**!

## More examples

Moreover, there is the universal family (in this case, universal subspace)  $U$  over  $P(V)$ .

$$U = \{([L], v) \mid v \in L\} \subset P(V) \times V$$

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For  $[L] \in P(V)$ ,  $\pi^{-1}([L]) = \{([L], v) \mid v \in L\} \cong L$ .

So  $P(V)$  with  $\pi : U \rightarrow P(V)$  is the fine moduli space of 1-dimensional subspaces of  $V$ .

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Exercise :  $G(n - 1, V) \cong P(V)$ .

Sketch : Fix a positive definite inner product on  $V$ .

Define a map

$$\begin{aligned} P(V) &\rightarrow G(n - 1, V) \\ L &\mapsto L^\perp \end{aligned}$$

Check this map is bijective.

# Some technical issues

If we study moduli spaces in algebraic geometry, there are two important assumptions.

- Usually, we use algebraic closed field  $\mathbb{C}$  instead of  $\mathbb{R}$ .
- We hardly use affine space( $\mathbb{C}^n$ ).

Usually we use projective space  $\mathbb{P}^n$ .

It is a compactification of  $\mathbb{C}^n$ .

# Some famous moduli spaces

$M_g$  : moduli space of nonsingular curves (Riemann surfaces) of genus  $g$  up to isomorphism.

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$M_{g,n}$  : moduli space of nonsingular curves of genus  $g$  with  $n$  distinct points, up to isomorphism.

If (1)  $g \geq 2$  or (2)  $g = 1$  and  $n \geq 1$  or (3)  $g = 0$  and  $n \geq 3$ , then the dimension is  $3g - 3 + n$ .

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$C$  : a nonsingular curve of genus  $g \geq 2$ .

$M(C, r, \alpha)$  : moduli space of stable vector bundles of rank  $r$  and first Chern class  $\alpha$ .

## Part II

Why we study moduli spaces?

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In many cases, a moduli space of some algebraic objects has an **algebraic structure**(become variety, scheme, stack...). And there are machineries to get some geometric information of moduli spaces(dimension, smoothness, compactness, ...).

So moduli spaces gives a plenty of examples of relatively concrete but not obvious higher dimensional algebraic objects.

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We recall some classical geometric questions.

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Surprisingly, the answer of last question is neither of 0, 1 nor  $\infty$ .

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$U := \{(L, v) \in G(2, V) \times V \mid v \in L\} \dots$  universal family.

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$U^* := \{L, v) \in G(2, V) \times V \mid v \in L, v \neq 0\} \subset U$

Exist two natural maps:

$$\begin{aligned} \pi : \quad U^* &\rightarrow G(2, V) \\ (L, v) &\mapsto L \end{aligned}$$

$$\begin{aligned} f : \quad U^* &\rightarrow V \rightarrow \mathbb{P}^3 \\ (L, v) &\mapsto v \mapsto \langle v \rangle \end{aligned}$$

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$f^{-1}(L_i)$  : set of pairs  $(L, v)$  such that  $v \in L_i$  and  $v \in L$ .  
= set of pairs  $(L, v)$  such that  $v \in L \cap L_i$ .

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$$\left| \bigcap_{i=1}^4 \pi(f^{-1}(L_i)) \right|$$

is what we want!

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$$|\bigcap_{i=1}^4 \pi(f^{-1}(L_i))| = \int_{G(2, V)} \sigma_1^4 = 2.$$

There exist exactly 2 lines in  $\mathbb{P}^3$  meet 4 general lines.

# Lines on a Calabi-Yau 3-fold

## Definition

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A **quintic threefold** is a nonsingular threefold in  $\mathbb{P}^4$  defined by single homogeneous equation of degree 5.

This is an example of Calabi-Yau threefold appears in string theory.

## Question

*How many lines in general quintic threefold?*

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# Lines on a Calabi-Yau 3-fold

Consider the moduli space of lines in  $\mathbb{P}^4$  :  $Gr(2, V)$  where  $V \cong \mathbb{C}^5$ .  
Let  $U$  be the universal vector space over  $Gr(2, V)$ ,  
and let  $U^*$  be the complement of zero section.

We have a following diagram

$$\begin{array}{ccc} U^* & \xrightarrow{f} & \mathbb{P}^4 \\ \pi \downarrow & & \\ G(2, V). & & \end{array}$$

as before.

# Lines on a Calabi-Yau 3-fold

Idea : Make a vector bundle  $W$  on  $Gr(2, V)$  such that  
for  $L \in Gr(2, V)$ , the fiber  $W_L$  is the vector space of degree 5  
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Let our quintic 3-fold  $X$  is defined by a degree 5 homogeneous  
polynomial  $g$  of degree 5.

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So we have a section  $s$  of  $W$ , and the number of lines in  $X = |Z(s)|$ .

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Algebraic construction of  $W$  :

$$\begin{array}{ccc} \mathbb{P}(U) & \xrightarrow{f} & \mathbb{P}^4 \\ \pi \downarrow & & \\ G(2, V). & & \end{array}$$

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By using Riemann-Roch theorem, we can compute this number : 2,875.

# Lines on a Calabi-Yau 3-fold

## Clemens' conjecture

Let  $X$  be a general quintic threefold. For every  $d \in \mathbb{N}$ , there exist only finitely many rational curves of degree  $d$  on  $X$ .

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## Clemens' conjecture

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It is proved for  $n \leq 7$ .

degree	number of curves
1	2,875
2	609,250
3	317,206,375
4	242,467,530,000
5	22,930,588,887,625
6	248,249,742,118,022,000
7	295,091,050,570,845,659,250

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For genus 2, the following equation is a universal equation.

$$y^2 = x^6 + a_5x^5 + \cdots + a_1x + a_0.$$

# Existence of almost universal equations

## Question

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This is an immediate corollary of following theorem:

## Theorem (Harris-Mumford, Farkas)

*For  $g \geq 22$ , the moduli space  $M_g$  is of general type.*

## 4. Essential elements in modern mathematics and physics

For a smooth projective variety  $X$ , we can construct huge ring structure  $QH^*(X)$ , called quantum cohomology.

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The Gromov-Witten invariant and quantum cohomology are parts of [superstring theory](#).

## Part III

### Moduli spaces and birational geometry

# Compactification of moduli spaces

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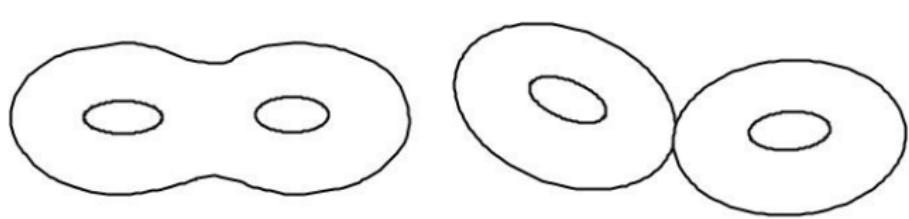
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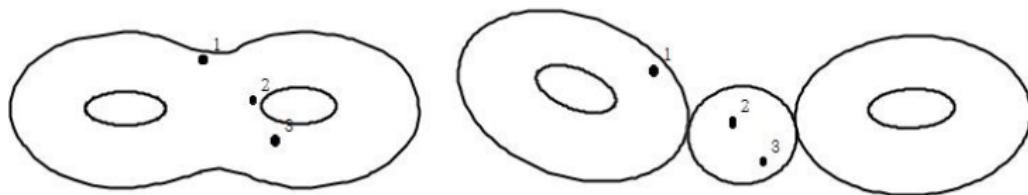
Example :

1)  $\overline{M}_g$  : moduli space of curves of (arithmetic) genus  $g$  with nodal singularities which has finite automorphism group.



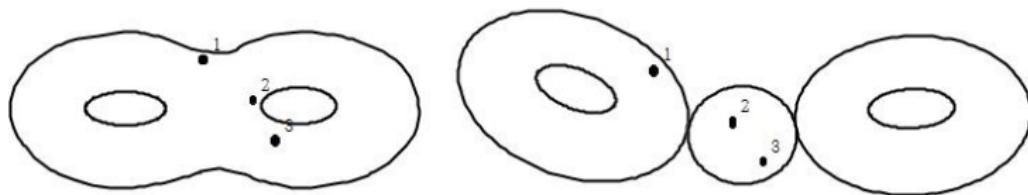
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3) Compactify  $M_g(\mathbb{P}^r, d) \cdots$  regard  $C \subset \mathbb{P}^r$  as an injective morphism  $f : C \hookrightarrow \mathbb{P}^r$  from smooth curve  $C$  of genus  $g$ .

$\overline{M}_g(\mathbb{P}^r, d)$  : moduli space of maps from a nodal curve of (arithmetic) genus  $g$  to  $\mathbb{P}^r$  (called moduli space of stable maps), such that

- i) degree of map is  $d$ ,
- ii) automorphism group of map is finite.

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... called moduli space of [weighted stable curves](#).

gives different compactification of  $M_{g, n}$ .

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- 1) Is there any morphism  $\overline{M}_{g,\mathcal{A}} \rightarrow \overline{M}_{g,\mathcal{B}}$  for two different weights  $\mathcal{A}, \mathcal{B}$ ?
- 2) Is there any other construction of  $\overline{M}_{g,\mathcal{A}}$ ?

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So we want to classify **minimal** surfaces.

There are many works about classification of minimal surfaces(Enriques, Kodaira, ...).

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- ④ The existence of minimal model is still unknown except some special cases (for example, 3-folds, log Fano, ...).
- ⑤ If a minimal model exists, there is a unique (log) **canonical model**.

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**Theorem** (Alexeev-Swinarski, Kiem-M)

Let  $\alpha$  be a rational number satisfying  $\frac{2}{n-1} < \alpha \leq 1$ . Then the log canonical model  $\overline{M}_{0,n}(\alpha)$  for  $(\overline{M}_{0,n}, \alpha D)$  satisfies the following:

- ① If  $\frac{2}{\lfloor \frac{n}{2} \rfloor + 1} < \alpha \leq 1$ , then  $\overline{M}_{0,n}(\alpha) \cong \overline{M}_{0, \mathcal{A}_\alpha}$  where  $\mathcal{A}_\alpha = (\epsilon_\alpha, \epsilon_\alpha, \dots, \epsilon_\alpha)$ .
- ② If  $\frac{2}{n-1} < \alpha \leq \frac{2}{\lfloor \frac{n}{2} \rfloor + 1}$ , then  $\overline{M}_{0,n}(\alpha) \cong (\mathbb{P}^1)^n // SL(2)$ . where  $//$  means some kind of algebraic group quotient (GIT quotient).

Thank you!