

Introduction to moduli spaces

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Part I

What is a moduli space?

Moduli spaces

Warning : In this talk, there is NO rigorous definition of moduli spaces!
We will ignore all technical details.

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Roughly, a **moduli space** is a (topological, geometric, algebraic) space whose points are in one to one correspondence with geometric objects of one kind.

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M is not only a set, but is a topological space.

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Define $U \subset M \times \mathbb{R}^2 \cong \mathbb{R}^4 \times \mathbb{R}^2$ by

$$U = \{(x_0, y_0, x_1, y_1, (1-t)x_0 + tx_1, (1-t)y_0 + ty_1) | t \in [0, 1]\}$$

There is a natural map $\pi : U \rightarrow M$ defined by projection to first four coordinates.

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Q. Why U is a 'universal family'?

A. For every point $((x_0, y_0), (x_1, y_1)) = p \in M$,

$$\pi^{-1}(p) = \{((1-t)x_0 + tx_1, (1-t)y_0 + ty_1) | t \in [0, 1]\} \subset \mathbb{R}^2,$$

the oriented line segment corresponded p !

Definition of moduli space

Definition

A (fine) **moduli space** of some geometric objects consists of a moduli space M , a **universal family** U and a map $\pi : U \rightarrow M$ such that

- 1 there is one to one correspondence between points of M and geometric objects we want to collect.
- 2 for every point $p \in M$, $\pi^{-1}(p)$ is the corresponded object.

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$l_1, l_2 \subset \mathbb{R}^2$ are isomorphic if \exists a translation $\varphi : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ such that $\varphi(l_1) = l_2$.

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Then we can assume the starting point of line segment is the origin.

So in this case, the moduli space M'' of oriented line segments in \mathbb{R}^2 up to translation is

$$M'' = \mathbb{R}^2 - \{(0, 0)\}.$$

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Lesson : Equivalent relations between parameterized objects are very important!

More examples

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- every $v \in V - \{0\}$ determines a unique 1-dimensional subspace $L = \langle v \rangle \subset V$.
- $v, v' \in V - \{0\}$ determines the same subspace if $\exists c \in \mathbb{R}^*, v = cv'$.

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\Rightarrow the moduli space of 1-dimensional subspace of V is $(V - \{0\})/\mathbb{R}^*$
 $= P(V)$, the **projective space**!

More examples

Moreover, there is the universal family (in this case, universal subspace) U over $P(V)$.

$$U = \{([L], v) | v \in L\} \subset P(V) \times V$$

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For $[L] \in P(V)$, $\pi^{-1}([L]) = \{([L], v) | v \in L\} \cong L$.

So $P(V)$ with $\pi : U \rightarrow P(V)$ is the fine moduli space of 1-dimensional subspaces of V .

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Exercise : $G(n-1, V) \cong P(V)$.

Sketch : Fix a positive definite inner product on V .

Define a map

$$\begin{aligned} P(V) &\rightarrow G(n-1, V) \\ L &\mapsto L^\perp \end{aligned}$$

Check this map is bijective.

Some technical issues

If we study moduli spaces in algebraic geometry, there are two important assumptions.

- Usually, we use algebraic closed field \mathbb{C} instead of \mathbb{R} .
- We hardly use affine space (\mathbb{C}^n) .
Usually we use projective space \mathbb{P}^n .
It is a compactification of \mathbb{C}^n .

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C : a nonsingular curve of genus $g \geq 2$.

$M(C, r, \alpha)$: moduli space of stable vector bundles of rank r and first Chern class α .

Part II

Why we study moduli spaces?

1. Examples of higher dimensional variety

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Is it smooth? compact? connected? nonempty?

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So moduli spaces gives a plenty of examples of relatively concrete but not obvious higher dimensional algebraic objects.

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We recall some classical geometric questions.

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Suprisingly, the answer of last question is neither of 0, 1 nor ∞ .

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$U := \{(L, v) \in G(2, V) \times V \mid v \in L\} \cdots$ universal family.

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Exist two natural maps:

$$\begin{aligned} \pi : \quad U^* &\rightarrow G(2, V) \\ (L, v) &\mapsto L \end{aligned}$$

$$\begin{aligned} f : \quad U^* &\rightarrow V \rightarrow \mathbb{P}^3 \\ (L, v) &\mapsto v \mapsto \langle v \rangle \end{aligned}$$

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$$\left| \bigcap_{i=1}^4 \pi(f^{-1}(L_i)) \right|$$

is what we want!

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In cohomology ring of $G(2, V)$, $\sigma_1 = \sigma_2 = \sigma_3 = \sigma_4$.

$$|\bigcap_{i=1}^4 \pi(f^{-1}(L_i))| = \int_{G(2,V)} \sigma_1^4 = 2.$$

There exist exactly **2** lines in \mathbb{P}^3 meet 4 general lines.

Lines on a Calabi-Yau 3-fold

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Question

How many lines in general quintic threefold?

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Lines on a Calabi-Yau 3-fold

Consider the moduli space of lines in \mathbb{P}^4 : $Gr(2, V)$ where $V \cong \mathbb{C}^5$.
 Let U be the universal vector space over $Gr(2, V)$,
 and let U^* be the complement of zero section.

We have a following diagram

$$\begin{array}{ccc} U^* & \xrightarrow{f} & \mathbb{P}^4 \\ \pi \downarrow & & \\ G(2, V). & & \end{array}$$

as before.

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Idea : Make a vector bundle W on $Gr(2, V)$ such that for $L \in Gr(2, V)$, the fiber W_L is the vector space of degree 5 homogeneous polynomials over $L \cong \mathbb{P}^1$

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Then for each line $L \subset \mathbb{P}^4$, we can restrict g to the line L and get an element g_L of W_L .

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Moreover, $g_L = 0$ iff L is in the zero set $Z(g) = X$.

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So we have a section s of W , and the number of lines in $X = |Z(s)|$.

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The number $|Z(s)|$ is equal to

$$\int_{G(2, V)} c_6(\pi_* f^* \mathcal{O}(5))$$

Lines on a Calabi-Yau 3-fold

Algebraic construction of W :

$$\begin{array}{ccc} \mathbb{P}(U) & \xrightarrow{f} & \mathbb{P}^4 \\ \pi \downarrow & & \\ G(2, V). & & \end{array}$$

$\mathcal{O}(5)$: a line bundle such that one of section is g .

$$W = \pi_* f^* \mathcal{O}(5).$$

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By using Riemann-Roch theorem, we can compute this number : **2,875**.

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Clemens' conjecture

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It is proved for $n \leq 7$.

degree	number of curves
1	2,875
2	609,250
3	317,206,375
4	242,467,530,000
5	22,930,588,887,625
6	248,249,742,118,022,000
7	295,091,050,570,845,659,250

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For genus 2, the following equation is a universal equation.

$$y^2 = x^6 + a_5x^5 + \cdots + a_1x + a_0.$$

Existence of almost universal equations

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For any genus g , can we find a universal(or an almost universal) equation(or equations) with almost free variable for genus g curves?

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If $g \geq 22$, then it is impossible to construct almost universal equations.

This is an immediate corollary of following theorem:

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Theorem

*If $g \geq 22$, then it is **impossible** to construct almost universal equations.*

This is an immediate corollary of following theorem:

Theorem (Harris-Mumford, Farkas)

For $g \geq 22$, the moduli space M_g is of general type.

4. Essential elements in modern mathematics and physics

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The Gromov-Witten invariant and quantum cohomology are parts of [superstring theory](#).

Part III

Moduli spaces and birational geometry

Compactification of moduli spaces

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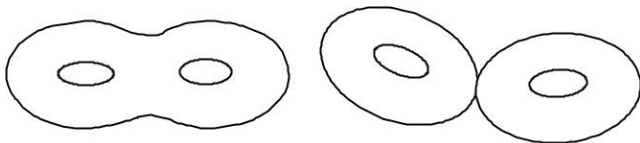
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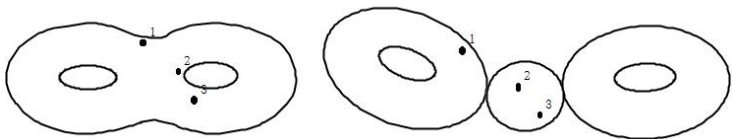
Example :

1) \overline{M}_g : moduli space of curves of (arithmetic) genus g with nodal singularities which has finite automorphism group.



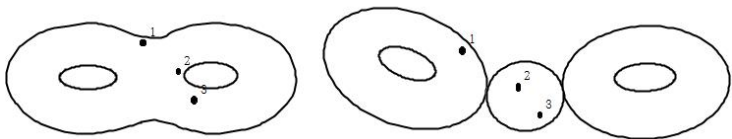
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2) $\overline{M}_{g,n}$ moduli space of curves of (arithmetic) genus g with n **distinct smooth** points, with nodal singularities which has finite automorphism group.



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3) Compactify $M_g(\mathbb{P}^r, d) \cdots$ regard $C \subset \mathbb{P}^r$ as an injective morphism $f : C \hookrightarrow \mathbb{P}^r$ from smooth curve C of genus g .

$\overline{M}_g(\mathbb{P}^r, d)$: moduli space of maps from a nodal curve of (arithmetic) genus g to \mathbb{P}^r (called moduli space of stable maps), such that

- i) degree of map is d ,
- ii) automorphism group of map is finite.

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\dots called moduli space of **weighted stable curves**.

gives different compactification of $M_{g, n}$.

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Definition

We say two algebraic varieties M_1, M_2 are **birational** if they have open dense subsets U_1, U_2 respectively, such that $U_1 \cong U_2$.

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- 1) Is there any morphism $\overline{M}_{g,\mathcal{A}} \rightarrow \overline{M}_{g,\mathcal{B}}$ for two different weights \mathcal{A}, \mathcal{B} ?
- 2) Is there any other construction of $\overline{M}_{g,\mathcal{A}}$?

Digression to minimal model program

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But if we know X , then we know everything about \tilde{X} .

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So we want to classify **minimal** surfaces.

There are many works about classification of minimal surfaces(Enriques, Kodaira, ...).

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- ④ The existence of minimal model is still unknown except some special cases(for example, 3-folds, log Fano, ...).
- ⑤ If a minimal model exists, there is a unique (log) **canonical model**.

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Theorem (Alexeev-Swinarski, Kiem-M)

Let α be a rational number satisfying $\frac{2}{n-1} < \alpha \leq 1$. Then the log canonical model $\overline{M}_{0,n}(\alpha)$ for $(\overline{M}_{0,n}, \alpha D)$ satisfies the following:

- ① *If $\frac{2}{\lfloor \frac{n}{2} \rfloor + 1} < \alpha \leq 1$, then $\overline{M}_{0,n}(\alpha) \cong \overline{M}_{0, \mathcal{A}_\alpha}$ where $\mathcal{A}_\alpha = (\epsilon_\alpha, \epsilon_\alpha, \dots, \epsilon_\alpha)$.*
- ② *If $\frac{2}{n-1} < \alpha \leq \frac{2}{\lfloor \frac{n}{2} \rfloor + 1}$, then $\overline{M}_{0,n}(\alpha) \cong (\mathbb{P}^1)^n // SL(2)$. where $//$ means some kind of algebraic group quotient (GIT quotient).*

Thank you!